



# **Integral Neural Networks**

(CVPR 23 Award Candidate)

Kirill Solodskikh\*† Azim Kurbanov\*† Ruslan Aydarkhanov†
Irina Zhelavskaya Yury Parfenov Dehua Song Stamatios Lefkimmiatis
Huawei Noah's Ark Lab

**Presenter: Seonghak KIM** 



#### Introduction



† Kolmogorov superposition theorem ¶ universal approximation theorem

### DNN (Deep Neural Networks)

- Characteristic

  - <u>Large number of parameters and computations</u> for better performance
  - Limit applications (memory- and computation-constrained devices) → pruning, quantization, NAS

#### Discretized representations

- Natural signals such as images or audio signals, which <u>inevitably discretized.</u>
- Matrix multiplications and discrete convolutions
- Modification of size of NN (→ performance degradation)
  - Although pruning can generate efficient models, it <u>requires to fine-tune the on whole training datasets.</u>
  - Many tasks (e.g., autonomous driving) require different response speeds on same hardware according to various conditions (e.g., driving speed and weather condition).
  - Multiple model for all possible scenarios and store them together  $\rightarrow$  resources  $\uparrow$

#### **→** self-resizing model without performance degradation



#### Introduction



#### INN (Integral Neural Networks)

#### Continuous representation

- Integral operators
- <u>High-dimensional hypercube</u> to present the weights of one layer as a continuous surface
- Numerical quadrature approximation (continuous networks → discretization)
- At inference, arbitrary size with various discretization intervals (while preserving original performance)

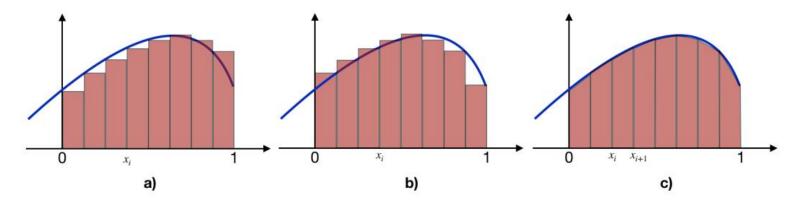


Figure 2. Different integration quadratures: a) left Riemann quadrature, b) right Riemann quadrature, c) trapezoidal quadrature. Riemann quadratures are first-order methods, while the trapezoidal quadrature is a second-order method. The trapezoidal quadrature computes the integral more precisely than the Riemann quadratures with a fewer required number of points in the segment partition.





#### Preliminary

- Full-connected and convolution layer → numerical integration
  - Let W(x), S(x) be univariate functions

$$\int_0^1 W(x)S(x)dx \approx \sum_{i=0}^n q_i W(x_i)S(x_i) = \overrightarrow{w}_q \cdot \overrightarrow{s}$$

- $\vec{s} = (S(x_0), ..., S(x_n))$
- $\vec{q} = (q_0, ..., q_n)$ : weights of the integration quadrature
- $\vec{P}^x = (x_0, ..., x_n)$ : segment partition  $(0 < x_0 < x_1 < ... < x_{n-1} < x_n < 1)$
- $(\vec{P}^x, \vec{q})$ : numerical integration method
- ightharpoonup Integral of a product of two univariate functions  $\approx$  dot product of two vectors (w/ specific integration method)





#### Convolution layer

- Multichannel signal  $\rightarrow$  Multichannel signal (i.e.,  $\mathbb{R}^{d \times C_{in}} \rightarrow \mathbb{R}^{d \times C_{out}}$ )
  - $F_W(\lambda, x^{out}, x^{in}, \mathbf{x}^s)$ : weight of layer represented by integrable function
    - $\mathbf{x}^s$ : scalar or vector representing the dimensions
    - $\lambda$ : vector of trainable parameters
  - $F_I(x^{in}, \mathbf{x}^s)$ : input images
  - $F_O(x^{out}, \mathbf{x}^{s'})$ : output images

$$\int_0^1 W(x)S(x)dx \approx \vec{w}_q \cdot \vec{s}$$

$$F_O(x^{out}, \mathbf{x}^{s'}) = \int_{\Omega} F_W(\lambda, x^{out}, x^{in}, \mathbf{x}^s) F_I(x^{in}, \mathbf{x}^s + \mathbf{x}^{s'}) dx^{in} d\mathbf{x}^s$$

- Fully-connected layer
  - Vector  $\rightarrow$  vector (i.e.,  $\mathbb{R}^d \rightarrow \mathbb{R}^d$ )

$$F_O(x^{out}) = \int_0^1 F_W(\lambda, x^{out}, x^{in}) F_I(x^{in}) dx^{in}$$

Activation function

$$\mathcal{D}(\text{ActFunc}(x), P_x) = \text{ActFunc}(\mathcal{D}(x, P_x))$$





#### ¶ Fubini theorem † Leibniz theorem

### Evaluation and Backpropagation

- Evaluation (Forward pass)
  - Integral kernel  $F(\lambda, x) \rightarrow$  discretization  $\rightarrow$  conventional layer for numerical integration
  - (:) weights of a quadrature can be fused into the weight matrix of the vanilla layer
  - E.g., fully-connected layer,  $F_W(\lambda, x^{out}, x^{in})$  and  $F_I(\lambda, x^{in})$ : continuous function

$$\int_{0}^{1} F_{W}(\lambda, x^{out}, x^{in}) F_{I}(x^{in}) dx^{in} \approx \sum_{i=0}^{n} q_{i} F_{W}(\lambda, x^{out}, x_{i}^{in}) F_{I}(x_{i}^{in})$$

$$W_{ji}$$

- → Composite quadrature can be represented as a forward pass of the corresponding discrete operator.
- Backpropagation

$$\frac{\partial}{\partial \lambda} \int_{0}^{1} F_{W}(\lambda, x^{out}, x^{in}) F_{I}(x^{in}) dx^{in} \& \approx^{\dagger} \int_{0}^{1} \frac{\partial F_{W}(\lambda, x^{out}, x^{in})}{\partial \lambda} F_{I}(x^{in}) dx^{in}$$

$$= \sum_{i=0}^{n} q_{i} \frac{F_{W}(\lambda, x^{out}, x^{in}_{i})}{\partial \lambda} F_{I}(x^{in}_{i})$$

ightharpoonup Evaluation of the integral operator with the kernel  $\frac{\partial F(\lambda,x)}{\partial \lambda}$  using the same quadrature as in the forward pass.





¶ Fubini theorem

† Leibniz theorem

### Evaluation and Backpropagation

Evaluation (Forward pass)

$$\int_{0}^{1} F_{W}(\lambda, x^{out}, x^{in}) F_{I}(x^{in}) dx^{in} \approx \int_{i=0}^{n} q_{i} F_{W}(\lambda, x^{out}, x_{i}^{in}) F_{I}(x_{i}^{in}) W_{ji}$$

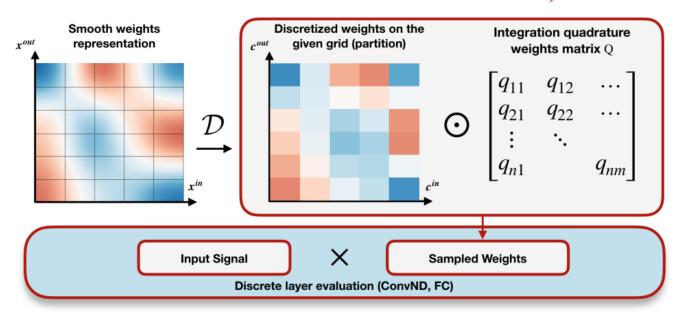


Figure 3. Visualization of the integral layer evaluation. Continuous weights go through discretization along the variables  $x^{in}$ ,  $x^{out}$  and adjusted by an element-wise product with the integration quadrature Q.





## • Continuous parameters representation $F(\lambda, x)$

- Linear combination
  - Richer and more generalized continuous parameter representation  $\rightarrow$  sample discrete weights
  - Interpolation kernels with uniformly distributed interpolation nodes on the line segment [0,1]

$$F_W(\lambda, x) = \sum_{i=0}^{m} \lambda_i u(xm - i)$$

- *m*: number of interpolation nodes
- $\lambda_i$ : node's value

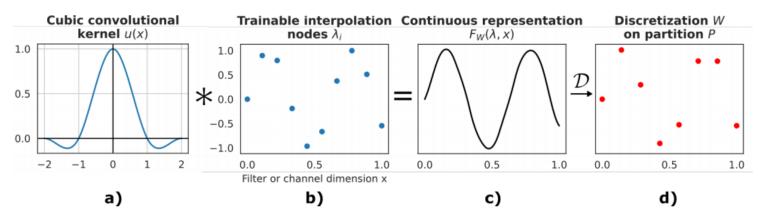


Figure 4. Visualization of continuous parameter representation and sampling along one dimension. The continuous representation (c) is the result of a linear combination of a cubic convolutional kernel (a) with interpolation nodes (b). During the forward phase it is discretized (d) and combined with an integration quadrature. abla n

cf. 
$$\sum_{i=0}^{n} q_{i} F_{W}(\lambda, x^{out}, x_{i}^{in}) F_{I}(x_{i}^{in})$$

$$W_{ii}$$





- Continuous parameters representation  $F(\lambda, x)$ 
  - Fully-connected layer
    - Two dimensional weight tensor represented by linear combination of two-dimensional kernels on a uniform 2D grid within the square  $[0,1]^2$

$$F_W(\lambda, x^{out}, x^{in}) = \sum_{i,j} \lambda_{ij} u(x^{out} m^{out} - i) u(x^{in} m^{in} - j)$$

• Sampling continuous representations on partitions  $\vec{P}^{out}$ ,  $\vec{P}^{in} \rightarrow W_q$ 

$$W_q[k,l] = q_l W[k,l] = q_l F_W(\lambda, P_k^{out}, P_k^{in})$$

- ullet  $ec{P}^{out} = \{kh^{out}\}_k$ ,  $ec{P}^{in} = \{lh^{in}\}_l$ : uniform partitions with steps  $h^{out}$ ,  $h^{in}$
- → Various partition size make diverse sized model
- Trainable partition
  - Non-uniform sampling → improve numerical integration w/o partition size ↑
  - Training the separable partitions

$$\vec{P} = \operatorname{cumsum}(\vec{\delta}_{\text{norm}})$$

• 
$$\vec{\delta}_{\text{norm}} = \frac{\vec{\delta}^2}{\text{sum}(\vec{\delta}^2)}, \ \vec{\delta} = (0, \delta_1, \dots, \delta_n)$$





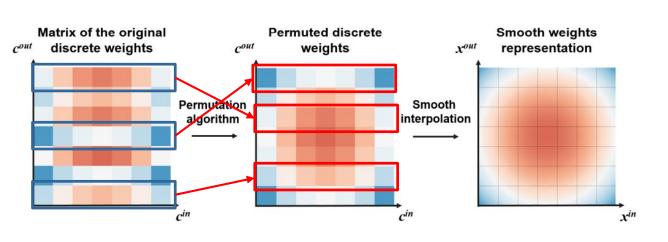
#### Training INN

#### Conversion of DNNs to INNs

- Benefits of use from pre-trained discrete networks by converting into integral networks
- Better initialization for training of integral networks
- Permutation by total variation minimization along a specific dimension of the weight tensor
  - cf. optimal "route" in TSP as optimal permutation  $\rightarrow$  slices along the  $c^{out}$  = "cities", total variation = "distance" b/w cites

2opt: 
$$\min_{\sigma \in S_n} \sum |W[\sigma(i)] - W[\sigma(i+1)]|$$

- $\sigma$ : permutation,  $\sigma(i)$ : new position of i element by the permutation
- $S_n$ : set of all permutations of length n



[TSP]

Figure 5. Toy example illustrating the permutation of filters in a discrete weight tensor in order to obtain a smoother structure.





### Training INN

- Optimization of continuous weights
  - Training algorithm minimizes the differences b/w different cube partitions for each layer

$$|Net(X, P_1) - Net(X, P_2)| \le |Net(X, P_1) - Y| + |Net(X, P_2) - Y|$$

- Net $(X, P_i)$ : neural network evaluated on input data X with labels Y
- $\bullet$   $P_1$ ,  $P_2$ : two different partitions for each layer
- Reduction of differences between the outputs of INNs of different sizes
  - → trained INNs has a similar performance when pruned to arbitrary sizes.



# **Experiments**



#### Comparison with discrete NNs

| Dataset  | Model     | Discrete | INN  | INN-init |  |
|----------|-----------|----------|------|----------|--|
| Cifar10  | NIN       | 92.3     | 91.8 | 92.5     |  |
|          | VGG-11    | 91.1     | 89.4 | 91.6     |  |
|          | Resnet-18 | 95.3     | 93.1 | 95.3     |  |
| ImageNet | VGG-19    | 72.3     | 68.5 | 72.4     |  |
|          | ResNet-18 | 69.8     | 66.5 | 70.0     |  |
|          | ResNet-50 | 74.1     | 71.2 | 74.1     |  |
| (a)      |           |          |      |          |  |

| Dataset | Model    | Discrete | INN  | INN-ini |  |
|---------|----------|----------|------|---------|--|
| Set5    | SRCNN 3x | 32.9     | 32.6 | 32.9    |  |
|         | EDSR 4x  | 32.4     | 32.2 | 32.4    |  |
| Set14   | SRCNN3x  | 29.4     | 29.0 | 29.4    |  |
|         | EDSR 4x  | 28.7     | 28.2 | 28.7    |  |
| B100    | SRCNN 3x | 26.8     | 26.1 | 26.8    |  |
|         | EDSR 4x  | 27.6     | 27.2 | 27.6    |  |
| (b)     |          |          |      |         |  |

Table 1. Comparison of INNs with discrete networks on classification and image super-resolution tasks for different architectures. **Discrete** refers to the conventional DNN, **INN** refers to the integral network trained from scratch, while **INN-init** refers to the integral network trained according to pipeline A indicated in Fig. 6. Table (a) indicates accuracy [%] for classification tasks, whereas table (b) indicates PSNR [dB] for super-resolution tasks.

 $\rightarrow$  Performance: INN from pre-trained discrete net  $\geq$  discrete net  $\gg$  INN from scratch

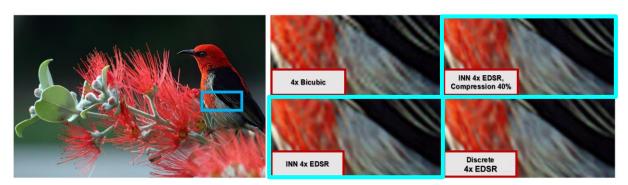


Figure 7. Example of 4x image super-resolution with 4 methods: bicubic interpolation, EDSR discrete neural network, EDSR integral neural network of full-size and pruned by 40%.

→ Even after 40% pruning the INN preserves almost the same performance.



# **Experiments**



#### Structured pruning w/o fine-tuning through conversion to INN

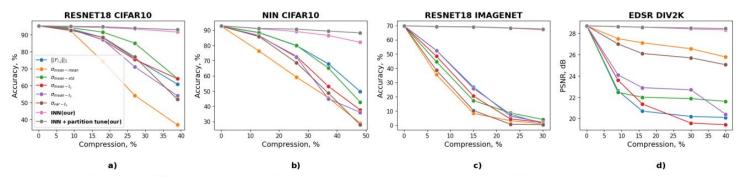


Figure 1. Visualization of different channels selection methods without fine-tuning compared with our proposed integral neural networks. a) ResNet-18 on Cifar10. b) NIN architecture on Cifar10. c) ResNet-18 on ImageNet. d) 4x EDSR on Div2k validation set. By compression we denote the percentage of deleted parameters.

→ INNs significantly outperform other alternative equipped with the ability of pruning w/o fine-turning.

|           | w Perm., %  | w/o Perm., % |
|-----------|-------------|--------------|
| ResNet-18 | 93.0        | 91.3         |
| NIN       | 89.4        | 84.71        |
| VGG-11    | <b>88.7</b> | 85.2         |

Table 2. Tuning integration partition of INN with and without permutation step during conversion from pre-trained DNN. All models were compressed at 40 %.

→ w/o permutation, higher accuracy drop when partition tuning is applied.



# **Experiments**



#### Trainable partition

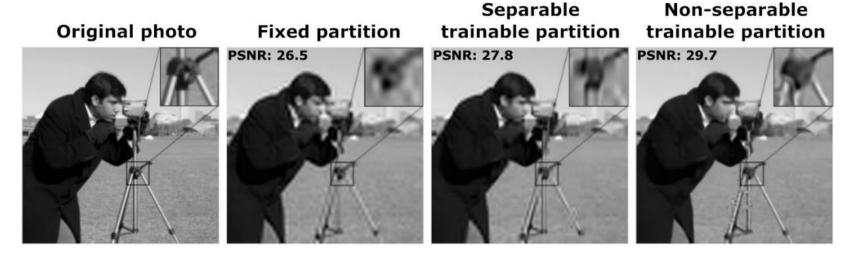


Figure 8. Image reconstruction with 3 methods (from left to right): original image, interpolation kernels with fixed partition, with separable trainable partition and non-separable trainable partition.

→ Additional flexibility to enrich the signal reconstruction leads to higher quality representation



### **Conclusions**



#### Conclusions

- Integral representation of neural networks
  - It generate conventional neural networks of arbitrary shape by a re-discretization of the integral kernel.
  - Same performance as their discrete DNN counterparts, while being stable under pruning w/o the finetuning.

### Open problems

- Nyquist theorem
  - How to select the number of sampling points
- Adaptive integral quadrature
  - Non-uniform partition estimation
- Training from scratch
  - Absence of batch-normalization layers





# Thank you.